# Weakly nonlinear ion-acoustic excitations in a relativistic model for dense quantum plasma

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The dynamics of linear and nonlinear ionic-scale electrostatic excitations propagating in a magnetized relativistic quantum plasma is studied. A quantum-hydrodynamic model is adopted and degenerate statistics for the electrons is taken into account. The dispersion properties of linear ion acoustic waves are examined in detail. A modified characteristic charge screening length and "sound speed" are introduced, for relativistic quantum plasmas. By employing the reductive perturbation technique, a Zakharov-Kuznetzov-type equation is derived. Using the small-k expansion method, the stability profile of weakly nonlinear slightly supersonic electrostatic pulses is also discussed. The effect of electron degeneracy on the basic characteristics of electrostatic excitations is investigated. The entire analysis is valid in a three-dimensional as well as in two-dimensional geometry. A brief discussion of possible applications in laboratory and space plasmas is included.

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### I. INTRODUCTION

Electron degeneracy in dense quantum plasmas has recently gained increasing interest, due to its relevance in a wide range of plasmas in astrophysics, and also in modern technological applications. Dense quantum plasmas are found in ultraintense laser beam-solid matter interaction experiments [1], in which the plasmon frequency is measurably shifted due to quantum effects [2,3]. Quantum plasmas are relevant in femtosecond pump-probe spectroscopy connected to the collective dynamics of degenerate electrons in metallic nanostructures and thin films [4], in the physics of quantum diodes [5], nanophotonics and nanowires [6], nanoplasmonics [7], high-gain quantum free-electron lasers [8], quantum wells and piezomagnetic quantum dots [9]. Degenerate plasmas may also exist in dense astrophysical objects, e.g., in the core of giant planets [10] and in the crust of white dwarfs, brown dwarfs, neutron stars, and magnetars [11,12].

In a degenerate plasma, the electron number density is extremely high and the temperature is very low. When the de Broglie wavelength of electrons (which is the spatial extension of the wave function due to the quantum uncertainty principle) can be comparable to, or larger than, the average interelectron distance  $d=n_e^{-1/3}$ , quantum effects become significant and cannot be ignored. Electrons can more easily reach the quantum regime than ions because of their smaller mass; at room temperature electrons begin to behave quantum mechanically at number density of about  $10^{20}$  cm<sup>-3</sup>, while the electron density for metals is normally larger than  $10^{22}$  cm<sup>-3</sup>, giving rise to electron Fermi temperature of order  $10^4$  K, to be considered within the quantum regime. The continuous motion of an electron in degenerate plasma around its position exerts a pressure on the surrounding plasma; this pressure

is referred to as the electron degeneracy pressure  $P_e$ . An expression for the degeneracy pressure was employed by Chandrasekhar [13,14] to estimate the critical mass limit of white dwarfs. Recently, Shukla and Eliasson [15,16] discussed theoretically the nonlinear aspects and collective interactions for degenerate plasmas. Later, Haas and Kourakis [17] used the one-dimensional version of the electron pressure equation, expressed by Chandrasekhar [13,14], to study the evolution of hydrodynamic Langmuir waves in fully degenerate relativistic plasma. McKerr *et al.* adopted the same fluid model to investigate the occurrence of modulated envelope structures within a (1D) nonlinear Schrödinger (NLS) framework [18].

Interestingly, the existence of acoustic-type modes has been proposed in white dwarf stars [19,20], where ions might provide the inertia while the electron degeneracy pressure may provide the restoring force. Although such modes have been argued to exist [21], these haven't been observed to date [20]. The possibility for the occurrence of acoustic waves was also suggested in relevance with extreme events such as supernova explosions [19,22]. Various theoretical investigations have been proposed, predicting excitations that are yet to be detected [23–26].

The propagation of small amplitude nonlinear excitations, in the form of electrostatic pulses, in a multidimensional (2D or 3D) plasma geometry is known to be governed by the Zakharov-Kuznetsov (ZK) equation [27], which is a generic paradigm for solitary waves in dispersive media [28]. The ZK equation can be viewed as a canonical multidimensional extension of the Korteweg-de Vries (KdV) equation [29]. Zakharov and Kuznetsov [27] used this equation to study the behavior of weakly nonlinear ion acoustic waves (IAWs) in plasma comprising cold ions and hot isothermal electrons in the presence of a uniform magnetic field. Frycz and Infeld [30] investigated the instability of small amplitude nonlinear waves by using the solutions of the ZK equation. Later, Allen and Rowlands [31,32] investigated the stability profile of solutions of the ZK equation via the k-expansion perturbation method, based on the Floquet theorem. The small-k expansion perturbation method [31,32] has also been employed to study

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the instability of nonlinear waves obliquely propagating in magnetized plasmas [33–35].

In this article, we have considered a three-dimensional (3D) fluid model for ion acoustic excitations in a degenerate relativistic plasma immersed in an external static magnetic field. The electron pressure is assumed to be described by a Chandrasekhar-type equation of state [13,14]. At a first step, we have carried out a Fourier-type linear analysis, to identify linear modes occurring in this model. A modified ion-acoustic wave was thus shown to exist, alongside a Langmuir-like "optical" mode, characterized by a frequency cutoff at the origin. Proceeding the analysis by anticipating stationaryprofile solitary structures, we have adopted a small-amplitude nonlinear multiscale (reductive perturbation) theory, in search of an evolution equation for the amplitude of an electrostatic perturbation. The analysis shows that these structures may be unstable to external perturbations, which is arguably due to the effect of bilateral perturbations, in contrast with the one-dimensional case [31,32].

This paper is organized in the following manner. In Sec. II, we introduce a relativistic plasma fluid model for low-frequency (ionic scale) electrostatic waves.

A linear analysis is carried out, and the existence and dispersion characteristics of linear modes are discussed in Sec. IV. A nonlinear perturbation technique is employed in Sec. V, where we show that the electrostatic potential is governed by a Zakharov-Kuznetsov equation. The characteristic properties of solitary waves occurring as exact solution of the model are examined in Sec. VI. The stability of the localized solutions is investigated via an adequate multiscale (small-*k* expansion) methodology in Sec. VIII. Finally, we discuss our results in the concluding Sec. VIII.

## II. THE MODEL

Let us consider the propagation of ion acoustic (IA) excitations in a degenerate relativistic plasma, in the presence of an external static magnetic field aligned to the z direction:  $\mathbf{B} = B_0 \hat{z}$  (where  $B_0$  denotes the magnetic field strength and  $\hat{z}$  is the unit vector along z). We shall adopt the relativistic plasma fluid model introduced in Ref. [18], extending it to a multidimensional geometry, in the form

$$\frac{\partial}{\partial t}(\gamma_i n_i) + \nabla \cdot (\gamma_i n_i \mathbf{u}_i) = 0, \tag{1}$$

$$\frac{\partial}{\partial t}(\gamma_e n_e) + \nabla \cdot (\gamma_e n_e \mathbf{u}_e) = 0, \tag{2}$$

$$\frac{\partial}{\partial t}(\gamma_i \mathbf{u}_i) + (u_i \cdot \nabla)(\gamma_i \mathbf{u}_i) = \frac{-ez_i}{m_i} \nabla \phi + \frac{ez_i B_0}{m_i} (\mathbf{u}_i \times \hat{z}),$$
(3)

$$0 = e\nabla\phi - eB_0(\mathbf{u}_e \times \hat{z}) - \frac{m_e c^2 \gamma_e}{P_e + \rho_e} \left(\nabla + \frac{\mathbf{u}_e}{c^2} \frac{\partial}{\partial t}\right) P_e, \quad (4)$$

$$\nabla^2 \phi = \frac{e}{\epsilon_0} (\gamma_e n_e - \gamma_i z_i n_i), \tag{5}$$

where  $n_e$  and  $n_i$  denote the electron and ion fluid number densities, respectively,  $\mathbf{u}_e$  and  $\mathbf{u}_i$  are the corresponding fluid velocities, and the electron mass  $(m_e)$ , ion mass  $(m_i)$ , electron

charge (e, in absolute value), ionic charge  $(+z_i e)$ , and light speed (c) carry their usual notation. The latter system has been expressed in SI units.

The electron pressure  $P_e$  is given by

$$P_e = \frac{m^4 c^5}{24\pi^2 \hbar^3} [\alpha (2\alpha^2 - 3)(\alpha^2 + 1)^{1/2} + 3\sinh^{-1}\alpha], \quad (6)$$

where  $\hbar = h/2\pi$  is the reduced Planck constant. Furthermore [18],

$$P_e + \rho_e = n_e m_e c^2 \sqrt{\alpha^2 + 1},\tag{7}$$

where  $\rho_e$  is the electron fluid internal energy density. We have defined the parameter

$$\alpha = \frac{p_{Fe}}{m_e c} = \frac{\hbar}{m_e c} (3\pi^2 n_e)^{1/3}.$$
 (8)

Considering the equilibrium state, we shall also define

$$\alpha_0 = \frac{\hbar}{m_e c} (3\pi^2 n_{e0})^{1/3}$$
, hence  $\alpha = \alpha_0 \left(\frac{n_e}{n_{eo}}\right)^{1/3}$ .

Charge neutrality condition at equilibrium imposes  $n_{e0} = z_i n_{i0}$ .

It should be noted, for rigor, that an additional quantum term, namely the so-called Bohm potential, in account of quantum diffraction, could have been added to the momentum equations. Such a contribution, however, would be comparable to the Fermi pressure only for extremely small wavelengths of the order of the mean interparticle distance [36], and will therefore be omitted in this study.

## III. SCALING AND DIMENSIONLESS MODEL

We shall normalize Eqs. (1)–(5), upon setting, formally  $\nabla \to L_0^{-1} \tilde{\nabla}$ ,  $t \to T_0 \tilde{t}$ ,  $\mathbf{u}_i \to V_0 \tilde{\mathbf{u}}_i$ ,  $\mathbf{u}_e \to V_0 \tilde{\mathbf{u}}_e$  and  $\phi \to \varphi_0 \tilde{\phi}$ , where the quantities with tildes are dimensionless. Once the transformation has been carried out, leading to the system of Eqs. (9)–(13) below, the tilde will be omitted in the following, for simplicity. The scaling quantities adopted above were chosen appropriately as

$$L_0 = \left(\frac{\epsilon_0 E_{Fe}}{e^2 z_i^2 n_{io}}\right)^{1/2}, \quad V_0 = \frac{L_0}{T_0} = \left(\frac{E_{Fe}}{m_i}\right)^{1/2},$$

$$T_0 = \omega_{pi}^{-1} = \left(\frac{\epsilon_0 m_i}{e^2 z_i^2 n_{io}}\right)^{1/2}$$
, and  $\varphi_0 = \frac{E_{Fe}}{e z_i}$ .

The electron Fermi energy  $E_{\text{Fe}}$  in the relativistic regime reads

$$E_{\rm Fe} = \sqrt{p_{\rm Fe}^2 c^2 + m_e^2 c^4} - m_e c^2$$
.

Combining with Eqs. (1)–(8), we obtain the following set of normalized (dimensionless) equations:

$$\frac{\partial}{\partial t}(\gamma_i n_i) + \nabla \cdot (\gamma_i n_i \mathbf{u}_i) = 0, \tag{9}$$

$$\frac{\partial}{\partial t}(\gamma_e n_e) + \nabla \cdot (\gamma_e n_e \mathbf{u}_e) = 0, \tag{10}$$

$$\frac{\partial}{\partial t}(\gamma_i \mathbf{u}_i) + (\mathbf{u}_i \cdot \nabla)(\gamma_i \mathbf{u}_i) = -\nabla \phi + \Omega(\mathbf{u}_i \times \hat{z}), \tag{11}$$

$$0 = \nabla \phi - \Omega(\mathbf{u}_e \times \hat{\mathbf{z}}) - \frac{\beta \alpha_0^2 \gamma_e n_e^{-1/3}}{\alpha_0^2 n_e^{2/3} + 1} \left(\nabla + \delta \mathbf{u}_e \frac{\partial}{\partial t}\right) n_e,$$
(12)

$$\nabla^2 \phi = \gamma_e n_e - \gamma_i n_i, \tag{13}$$

where we have defined the quantities

$$\Omega = \frac{ez_i B_0 T_0}{m_i} = \frac{\omega_{ci}}{\omega_{pi}}, \quad \beta = \frac{m_e c^2}{3e\phi_0} = \frac{m_e c^2 z_i}{3E_{Fe}},$$
and  $\delta = \frac{V_0^2}{c^2} = \frac{E_{Fe}}{m_i c^2}.$  (14)

The above system of equations forms the basis of the analysis that follows. All quantities are henceforth to be considered as dimensionless, unless otherwise stated.

#### IV. LINEAR ANALYSIS

Let us consider small-amplitude harmonic excitations, by assuming that all of the state variables vary as

$$G = G_0 + G_1 e^{i(\mathbf{k}.\mathbf{r} - \omega t)},$$

where  $G = [n_i, n_e, \mathbf{u}_i, \mathbf{u}_e, \boldsymbol{\phi}]$  is the state vector. The reference state and the corresponding (small) perturbation are expressed as  $G_0 = [1,1,0,0,0]$  and  $G_1 = [n_{i1},n_{e1},\mathbf{u}_{i1},\mathbf{u}_{e1},\boldsymbol{\phi}_1]$  (the notation adopted here is self-explanatory). A lengthy set of linear equations is thus obtained, in terms of the normalized frequency  $\omega$  and the wave number  $\mathbf{k}$  (components). A tedious but perfectly straightforward calculation leads to the dispersion relation

$$\omega^4 - \left[\omega_0^2(k) + \Omega^2\right]\omega^2 + \frac{k_{\parallel}^2}{k^2 + F^{-1}}\Omega^2 = 0, \quad (15)$$

where we have defined the quantity  $F = \frac{\beta \alpha_0^2}{\alpha_0^2 + 1}$ . The modulus of the wave vector is expressed in the usual way as  $k^2 = k_x^2 + k_y^2 + k_z^2 \equiv k_{\parallel}^2 + k_{\perp}^2$ . The function

$$\omega_0^2(k) = \frac{k^2}{k^2 + F^{-1}},\tag{16}$$

represents the frequency (square) of linear IAWs in an unmagnetized plasma [to see this, set  $\Omega = 0$  in the latter dispersion relation, to obtain  $\omega = \omega_0(k)$ ].

The solution of the dispersion relation Eq. (15) reads

$$\omega_{\pm}^{2} = \frac{1}{2} \left[ \omega_{0}^{2}(k) + \Omega^{2} \right]$$

$$\times \left[ 1 \pm \sqrt{1 - \frac{4k_{\parallel}^{2}}{(k^{2} + F^{-1})} \frac{\Omega^{2}}{\left[\omega_{0}^{2}(k) + \Omega^{2}\right]^{2}}} \right]. \quad (17)$$

We note the existence of two modes, namely a lower (slow) mode,  $\omega_{-}$ , and an upper (fast) mode,  $\omega_{+}$ . These represent, respectively, an acoustic mode and a Langmuir-like mode, the latter featuring a cutoff (gap) frequency in the infinite wavelength limit. The two dispersion curves are depicted in Figs. 1–3. (Clearly, the analogy with Langmuir waves is

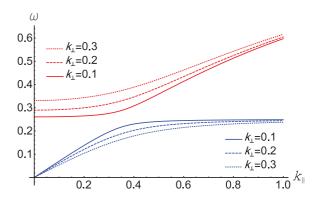


FIG. 1. The angular frequency  $\omega$  (scaled by  $\omega_{pi}$ ) is depicted versus the parallel component of the wave number  $k_{\parallel}$  (scaled by  $L_0^{-1}$ ). Here, the lower curves (blue color) and the upper curve(s) (red color) represent the lower (acoustic) and upper (Langmuir-like) mode,  $\omega_{-}$  and  $\omega_{+}$ , respectively. We have taken  $n_{e0}=1\times 10^{35}~\text{m}^{-3}$ , i.e.,  $\alpha_0=0.55$ ,  $E_{\text{Fe}}=73.4$  KeV,  $\Omega(=\omega_{ci}/\omega_{pi})=0.25$ . The inset labels refer to indicative values of  $k_{\perp}$  (scaled by  $L_0^{-1}$ ).

only structural here: this mode is sustained by the ion inertia too, instead of the electron inertia—here neglected—which would sustain electron plasma-Langmuir waves, properly speaking.)

### A. Parallel propagation

Let us consider the case  $k=k_{\parallel}$  (viz.,  $k_{\perp}=0$ ). The dispersion relation (15) reduces to

$$\omega^4 - \left[\omega_0^2(k_{\parallel}) + \Omega^2\right]\omega^2 + \omega_0^2(k_{\parallel})\Omega^2 = 0, \qquad (18)$$

whose solution, say  $\omega = \omega_{\parallel}$ , is given by

$$\omega_{\parallel}^2 = \omega_0^2(k_{\parallel}) = \frac{k_{\parallel}^2}{k_{\parallel}^2 + F^{-1}}.$$
 (19)

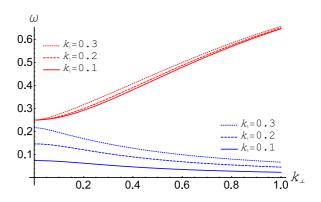


FIG. 2. The angular frequency  $\omega$  (scaled by  $\omega_{pi}$ ) is depicted versus the perpendicular component of the wave number  $k_{\perp}$  (scaled by  $L_0^{-1}$ ). Here, the lower curves (blue color) and the upper curves (red color) represent the lower and upper mode,  $\omega_{-}$  and  $\omega_{+}$ , respectively. We have taken  $n_{e0}=1\times10^{35}~\mathrm{m}^{-3}$ , i.e.,  $\alpha_{0}=0.55$ ,  $E_{\mathrm{Fe}}=73.4~\mathrm{KeV}$ ,  $\Omega(=\omega_{ci}/\omega_{pi})=0.25$ . The inset labels refer to indicative values of  $k_{\parallel}$  (scaled by  $L_0^{-1}$ ).

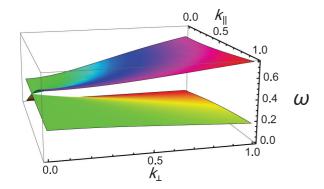


FIG. 3. Three-dimensional plot of the lower (acoustic) and upper (Langmuir-like) mode: the angular frequency  $\omega$  (scaled by  $\omega_{pi}$ ) is depicted versus both parallel and perpendicular components of the wave number ( $k_{\parallel}$  and  $k_{\perp}$ , both scaled by  $L_0^{-1}$ ). We have taken  $n_{e0} = 1 \times 10^{35} \text{ m}^{-3}$ , i.e.,  $\alpha_0 = 0.55$ ,  $E_{\text{Fe}} = 73.4 \text{ KeV}$ ,  $\Omega(= \omega_{ci}/\omega_{pi}) = 0.25$ 

Here, we have overlooked a trivial nonpropagating solution  $\omega = \Omega$ . Noting the limits

$$\lim_{k_{\parallel} \to 0} \omega_{\parallel} = 0, \tag{20}$$

and 
$$\lim_{k_{\parallel} \to 0} \left( \frac{\omega_{\parallel}}{k_{\parallel}} \right) = \sqrt{F} = \sqrt{\frac{\beta \alpha_0^2}{\alpha_0^2 + 1}},$$
 (21)

we deduce that the parallel solution is a propagating acoustic mode and  $\sqrt{F}$  is physically to be interpreted as the phase speed of this mode. This is essentially the true "sound speed" in this plasma configuration, taking into account relativistic and degeneracy effects.

## B. Perpendicular propagation

Let us now consider the case  $k=k_{\perp}$  (i.e.,  $k_{\parallel}=0$ ), in account of propagation in the transverse direction, with respect to the ambient magnetic field (direction). The frequency of transverse modes, say,  $\omega=\omega_{\perp}$ , is given by the dispersion relation Eq. (15), which now reduces to

$$\omega_{\perp}^4 - [\omega_{\perp 0}^2 + \Omega^2] \omega_{\perp}^2 = 0. \tag{22}$$

Hence, the perpendicular frequency can be obtained as

$$\omega_{\perp}^2 = \omega_0^2(k_{\perp}) + \Omega^2,\tag{23}$$

$$\omega_0^2(k_\perp) = \frac{k_\perp^2}{k_\perp^2 + F^{-1}}. (24)$$

Equation (23) shows that the perpendicular mode has a nonzero value  $\Omega^2$  at  $k_{\perp} \to 0$ .

The parallel and perpendicular modes are depicted in Fig. 4 versus the relevant wave number ( $k_{\parallel}$  and  $k_{\perp}$ , respectively), for different values of the equilibrium density  $n_{e0}$ . We note that, in both cases (parallel and perpendicular mode), the angular frequency is lower in higher-density plasmas: relativistic effects slow down ionic vibrations for higher electron densities, as intuitively expected.

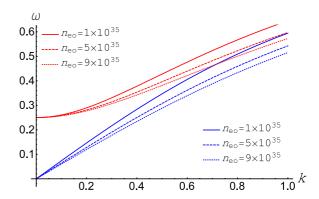


FIG. 4. The parallel mode (in blue color) and the perpendicular mode (in red color) (angular frequency  $\omega$  scaled by  $\omega_{pi}$ ) are depicted versus the relevant wave number k (here representing  $k_{\parallel}$  or  $k_{\perp}$ , respectively, for the lower or upper curves, scaled by  $L_0^{-1}$ ). Different density  $n_{e0}$  values have been considered (in units of m<sup>-3</sup>). In all plots, we have taken  $\Omega(=\omega_{ci}/\omega_{pi})=0.25$ .

### C. The unmagnetized limit

In the vanishing magnetic field limit, i.e., upon setting  $\Omega = 0$ , one obtains

$$\omega_{-}^{2} = 0, \quad \omega_{+}^{2} = \omega_{0}^{2}(k) = \frac{k^{2}}{k^{2} + F^{-1}}.$$
 (25)

Equation (25) represents the linear dispersion relation of IAWs in an unmagnetized plasma. As physically expected, the latter dispersion relation coincides with the analogous expression for parallel propagation, since the Lorentz force disappears in the latter case.

## D. Asymptotic behavior

For large values of  $k_{\parallel}$ , the solution Eq. (17) of the dispersion relation Eq. (15) behaves as

$$\lim_{k_{\parallel} \to \infty} (\omega_{-}^{2}) = \Omega^{2}, \quad \lim_{k_{\parallel} \to \infty} (\omega_{+}^{2}) = 1.$$
 (26)

In an analogous way, for large values of  $k_{\perp}$ , one obtains

$$\lim_{k_{\perp} \to \infty} (\omega_{-}^{2}) = 0, \quad \lim_{k_{\perp} \to \infty} (\omega_{+}^{2}) = \Omega^{2} + 1.$$
 (27)

### E. Relativistic screening mechanism

The cases considered above indicate that the functional form  $\omega_0^2(k)$  is an essential characteristic of the wave dispersion. We can rewrite  $\omega_0^2(k)$  as

$$\omega_0^2(k) = \frac{k^2}{k^2 + (\lambda_{\rm sc}^{\rm rel})^{-2}},\tag{28}$$

where  $\lambda_{sc}^{rel}$  is the characteristic charge screening length (here normalized by  $L_0$ ), in the relativistic regime:

$$\lambda_{\rm sc}^{\rm rel} = F^{-1/2} L_0 = \left(\frac{\beta \alpha_0^2}{\alpha_0^2 + 1}\right)^{-1/2} \left(\frac{\epsilon_0 E_{Fe}}{e^2 z_i^2 n_{io}}\right)^{1/2}.$$
 (29)

 $\lambda_{\rm sc}^{\rm rel}$  is analogous to the classical Debye radius  $\lambda_D$ , here modified in account of relativistic effects. Accordingly, the (modified) "true" sound speed in the plasma  $C_{\rm s}^{\rm rel}$ , taking into

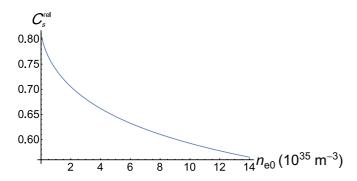


FIG. 5. The characteristic "sound speed"  $C_s^{\text{rel}}$  (scaled by  $V_0$ ) is depicted versus  $n_{e0}$  (in units of  $10^{35} \text{ m}^{-3}$ ).

account the relativistic correction, reads

$$C_s^{\text{rel}} = F^{1/2} V_0 = \left(\frac{\beta \alpha_0^2}{\alpha_0^2 + 1}\right)^{1/2} \left(\frac{E_{\text{Fe}}}{m_i}\right)^{1/2}.$$
 (30)

In the latter two expressions, we have recovered dimensions, for clarity. We emphasize that both the sound speed  $C_s^{\rm rel}$  and the Debye-screening length  $\lambda_{\rm sc}^{\rm rel}$  are now variable quantities, which depend on the equilibrium density  $n_{e0}$ , reflecting the relativistic nature of the model. Their parametric dependence is depicted in Figs. 5 and 6. It is clear that  $C_s^{\rm rel}$  decreases with  $n_{e0}$ , suggesting that ion-acoustic excitations will be slower at higher density. The inverse is observed for the screening length  $\lambda_{\rm sc}^{\rm rel}$  (=  $C_s^{\rm rel}/\omega_{pi}$ ), which takes larger values in denser plasmas.

# V. NONLINEAR ANALYSIS

In order to study weak-amplitude superacoustic electrostatic excitations, we may employ the reductive perturbation method of Taniuti and coworkers [37]. A set of stretched coordinates are introduced as

$$X = \epsilon^{1/2}x$$
,  $Y = \epsilon^{1/2}y$ ,  $Z = \epsilon^{1/2}(z - \lambda t)$ ,  $T = \epsilon^{3/2}t$ ,

accounting for propagation along the z axis at a speed  $\lambda$  (which is to be defined later by compatibility requirements). The *adhoc* (real) parameter  $\epsilon$  is assumed to be small, i.e.,  $\epsilon \ll 1$ . The plasma state variables are expanded near their equilibrium

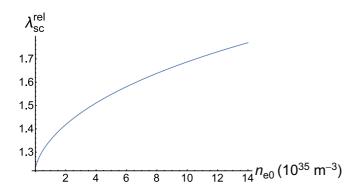


FIG. 6. The characteristic charge screening length  $\lambda_{\rm sc}^{\rm rel}$  (scaled by  $L_0$ ) is depicted versus  $n_{e0}$  (in units of  $10^{35}$  m<sup>-3</sup>).

values as

$$n_{j} = 1 + \epsilon n_{j1} + \epsilon^{2} n_{j2} + ...,$$

$$u_{jx} = \epsilon^{3/2} u_{jx1} + \epsilon^{2} u_{jx2} + ...,$$

$$u_{jy} = \epsilon^{3/2} u_{jy1} + \epsilon^{2} u_{jy2} + ...,$$

$$u_{jz} = \epsilon u_{jz1} + \epsilon^{2} u_{jz2} + ...,$$

$$\phi = \epsilon \phi_{1} + \epsilon^{2} \phi_{2} + ...,$$
(31)

where the subscript j stands for either e (for electrons) or i (for ions).

We proceed by combining the above analytical expansions and the stretched coordinates into Eqs. (9)–(13), and then collecting terms of the same powers of  $\epsilon$ . At the lowest order, we obtain

$$n_{i1} = \frac{\phi_1}{\lambda^2}, \quad n_{e1} = \frac{(\alpha_0^2 + 1)\phi_1}{\beta\alpha_0^2},$$

$$u_{iz1} = \frac{\phi_1}{\lambda}, \quad u_{ez1} = \frac{\lambda(\alpha_0^2 + 1)\phi_1}{\beta\alpha_0^2}.$$
(32)

A compatibility condition is imposed, in the form

$$\lambda^2 = \frac{\beta \alpha_0^2}{\alpha_0^2 + 1},\tag{33}$$

which leads, as a consequence, to  $u_{iz1} = u_{ez1}$ ; cf. Eq.(32).

Now, equating the coefficients of the second higher order of  $\epsilon$  gives rise to the following set of equations

$$\lambda \frac{\partial n_{i2}}{\partial Z} - \frac{\partial u_{iz2}}{\partial Z} = \frac{\partial n_{i1}}{\partial T} + \frac{\partial u_{ix2}}{\partial x} + \frac{\partial u_{iy2}}{\partial y} + \frac{\partial (n_{i1}u_{iz1})}{\partial Z},$$

$$\lambda \frac{\partial n_{e2}}{\partial Z} - \frac{\partial u_{ez2}}{\partial Z} = \frac{\partial n_{e1}}{\partial T} + \frac{\partial (n_{e1}u_{ez1})}{\partial Z},$$

$$\lambda \frac{\partial u_{iz2}}{\partial Z} - \frac{\partial \phi_2}{\partial Z} = \frac{\partial u_{iz1}}{\partial T} + u_{iz1} \frac{\partial u_{iz1}}{\partial Z},$$

$$\lambda^2 \frac{\partial n_{e2}}{\partial Z} - \frac{\partial \phi_2}{\partial Z} = \frac{\lambda^2 (3\alpha_0^2 + 1)}{3(\alpha_0^2 + 1)} n_{e1} \frac{\partial n_{ez1}}{\partial Z} + \delta \lambda^3 u_{ez1} \frac{\partial n_{e1}}{\partial Z},$$

$$\frac{\partial n_{e2}}{\partial Z} - \frac{\partial n_{i2}}{\partial Z} = \left(\frac{\partial^3 \phi_1}{\partial Z \partial X^2} + \frac{\partial^3 \phi_1}{\partial Z \partial Y^2} + \frac{\partial^3 \phi_1}{\partial Z^3}\right)$$

$$+ 2\delta \left(u_{iz1} \frac{\partial u_{iz1}}{\partial Z} - u_{ez1} \frac{\partial u_{ez1}}{\partial Z}\right).$$
(34)

Combining these equations, we obtain a partial-differential equation (PDE) in the form

$$\frac{\partial \phi_1}{\partial T} + A\phi_1 \frac{\partial \phi_1}{\partial Z} + B \frac{\partial^3 \phi_1}{\partial Z^3} + C \left( \frac{\partial^3 \phi_1}{\partial Z \partial X^2} + \frac{\partial^3 \phi_1}{\partial Z \partial Y^2} \right) = 0,$$
(35)

where the (real) coefficients A, B, and C are given by the expressions

$$A = \left[ \left( 1 - \frac{\delta \beta}{2} \right) \alpha_0^2 + \frac{4}{3} \right] / \left[ \beta \alpha_0^2 (\alpha_0^2 + 1) \right]^{1/2}, \tag{36}$$

$$B = \frac{1}{2} \left( \frac{\beta \alpha_0^2}{\alpha_0^2 + 1} \right)^{3/2},\tag{37}$$

and 
$$C = B(\Omega^{-2} + 1)$$
. (38)

The latter PDE is recognized as the ZK equation [28].

## VI. SOLITARY WAVE ANALYSIS

To study the properties of IA solitary waves propagating in a direction making an angle  $\theta$  with the z axis, i.e., with the external static magnetic field, say lying in the ZX plane, we shall first rotate the coordinate axes (X,Z) by an angle  $\theta$  and making use of the following transformation of the independent variables [31–33]

$$\zeta = X \cos \theta - Z \sin \theta, \quad \xi = X \sin \theta + Z \cos \theta,$$
  
 $\eta = Y \quad \text{and} \quad \tau = T.$  (39)

Applying these transformations to the ZK Eq. (35), we obtain

$$\frac{\partial \phi_1}{\partial \tau} + S_1 \phi_1 \frac{\partial \phi_1}{\partial \xi} + S_2 \frac{\partial^3 \phi_1}{\partial \xi^3} + S_3 \phi_1 \frac{\partial \phi_1}{\partial \zeta} + S_4 \frac{\partial^3 \phi_1}{\partial \zeta^3} 
+ S_5 \frac{\partial^3 \phi_1}{\partial \xi^2 \partial \zeta} + S_6 \frac{\partial^3 \phi_1}{\partial \xi \partial \zeta^2} + S_7 \frac{\partial^3 \phi_1}{\partial \xi \partial \eta^2} + S_8 \frac{\partial^3 \phi_1}{\partial \zeta \partial \eta^2} = 0,$$
(40)

where

$$S_{1} = A\cos\theta, S_{2} = B\cos^{3}\theta + C\sin^{2}\theta\cos\theta,$$

$$S_{3} = -A\sin\theta, \delta_{4} = -B\sin^{3}\theta - C\cos^{2}\theta\sin\theta,$$

$$S_{5} = 2C\left(\sin\theta\cos^{2}\theta - \frac{1}{2}\sin^{3}\theta\right) - 3B\cos^{2}\theta\sin\theta,$$

$$S_{6} = -2C\left(\sin^{2}\theta\cos\theta - \frac{1}{2}\cos^{3}\theta\right) + 3B\sin^{2}\theta\cos\theta,$$

$$S_{7} = C\cos\theta, S_{8} = -C\sin\theta.$$
(41)

Now, we look for a steady-state solution of ZK equation in the form

$$\phi_1 = \phi_0(\rho),\tag{42}$$

where  $\rho = \xi - M\tau$ , and M is the Mach number normalized by the dust acoustic speed  $c_d$ . So, the ZK equation in the steady-state form leads to

$$-M\frac{\partial\phi_0}{\partial\rho} + S_1\phi_0\frac{\partial\phi_0}{\partial\rho} + S_2\frac{\partial^3\phi_0}{\partial\rho^3} = 0. \tag{43}$$

Using the appropriate boundary conditions, namely  $\phi_0$  and derivatives vanishing as  $\rho$  goes to infinity, Eq. (20) has the following solution

$$\phi_0(\rho) = \phi_m \operatorname{sech}^2\left(\frac{\rho}{W}\right),\tag{44}$$

where  $\phi_m$  and W are the amplitude and the width of the solitary wave, respectively; these are given by the expressions

$$\phi_m = 3M/S_1$$
 and  $W = 2\sqrt{S_2/M}$ . (45)

Obviously, reality of the width W imposes  $S_2 > 0$ . Furthermore, the polarity of the potential pulse, i.e., the sign of the solitary wave function is positive if  $S_1 > 0$ , and negative otherwise ( $S_1 < 0$ ). Accordingly, the electric field  $\overrightarrow{\mathbf{E}}$  may be calculated, based on Eq. (44), as

$$\overrightarrow{\mathbf{E}} = E_0 \operatorname{sech}^2\left(\frac{\rho}{W}\right) \tanh\left(\frac{\rho}{W}\right) \begin{pmatrix} \sin\theta\\0\\\cos\theta \end{pmatrix}, \quad (46)$$

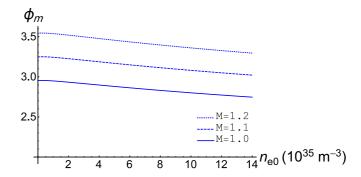


FIG. 7. The amplitude  $\phi_m$  of the solitary wave, as given by Eqs. (44) and (45) (scaled by  $\varphi_0$ , as defined in Sec. III) is depicted versus  $n_{e0}$  (in units of  $10^{35}$  m<sup>-3</sup>) at different M values. Here,  $\theta = 5^{\circ}$ .

in column vector notation, i.e.,  $\mathbf{v} = v_x \hat{x} + v_y \hat{y} + v_z \hat{z} = (v_x, v_y, v_z)^T$ . The maximum electric field reads  $E_0 = \frac{3}{S_1} \sqrt{\frac{M^3}{S_2}}$ . It is clear from Eqs. (41) and (45) that the amplitude and the width of the solitary wave depend on the electron degeneracy. Figures 7 and 8 show that both amplitude and width of the solitary wave decrease as the density  $n_{e0}$  increases.

The coefficients  $S_1$  and  $S_2$  are depicted in terms of  $n_{e0}$  in Figs. 9 and 10. It is found that  $S_1(S_2)$  increases (decreases) rapidly as  $n_{e0}$  increases.

#### VII. STABILITY ANALYSIS

We shall now apply the small-k expansion perturbation method [31,32] to study the stability of obliquely propagating IA structures. We assume that [33,34]

$$\phi_1 = \phi_0(\rho) + \Psi(\rho, \zeta, \eta, \tau), \tag{47}$$

where  $\phi_0$  is defined by Eq. (44) and  $\Psi$  represents a long-wavelength plane-wave perturbation in an oblique direction, given by

$$\Psi(\rho,\zeta,\eta,\tau) = \psi(\rho)e^{i[k(l_{\xi}\rho + l_{\zeta}\zeta + l_{\eta}\eta) - \gamma\tau]}.$$
 (48)

Figure 11 represents geometrically the perturbed part  $\Psi$  of the obliquely propagating wave at equilibrium  $\phi_0$  in X-Z plane. As obvious in the plot,  $(l_\xi, l_\zeta, l_\eta)$  are directional cosines, hence  $l_\zeta^2 + l_\eta^2 + l_\xi^2 = 1$ .

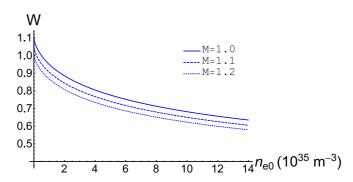


FIG. 8. The width of the solitary wave (scaled by  $L_0$ ) is depicted versus  $n_{e0}$  (in units of  $10^{35}\,\mathrm{m}^{-3}$ ) for different M values. Here,  $\theta=5^\circ$ , and  $\Omega(=\frac{\omega_{ei}}{\omega_{pi}})=0.25$ .

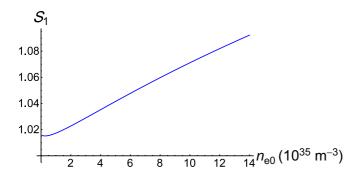


FIG. 9. The coefficient  $S_1$  is depicted versus  $n_{e0}$  (in units of  $10^{35} \,\mathrm{m}^{-3}$ ). Here,  $\theta = 5^{\circ}$ .

Assuming small values of k,  $\psi(\rho)$ , and  $\gamma$  can be expanded as

$$\psi(\rho) = \psi_0 + k\psi_1 + k^2\psi_2 + \dots, \tag{49}$$

$$\gamma = k\gamma_1 + k^2\gamma_2 + .... {(50)}$$

Substituting Eq. (47) into Eq. (40) and linearizing with respect to  $\psi$ , the linearized ZK equation becomes

$$\frac{\partial \Psi}{\partial \tau} - M \frac{\partial \Psi}{\partial \rho} + S_1 \phi_0 \frac{\partial \Psi}{\partial \rho} + S_1 \Psi \frac{\partial \phi_0}{\partial \rho} + S_2 \frac{\partial^3 \Psi}{\partial \rho^3} 
+ S_3 \phi_0 \frac{\partial \Psi}{\partial \zeta} + S_4 \frac{\partial^3 \Psi}{\partial \zeta^3} + S_5 \frac{\partial^3 \Psi}{\partial \rho^2 \partial \zeta} + S_6 \frac{\partial^3 \Psi}{\partial \rho \partial \zeta^2} 
+ S_7 \frac{\partial^3 \Psi}{\partial \rho \partial \eta^2} + S_8 \frac{\partial^3 \Psi}{\partial \zeta \partial \eta^2} = 0.$$
(51)

Substituting Eqs. (48)–(50) into Eq. (51) and equating the coefficients of the same powers of k, in the zeroth-order, we get

$$(-M + S_1 \phi_0) \psi_0 + S_2 \frac{d^2 \psi_0}{d\rho^2} = \widetilde{C}, \tag{52}$$

where  $\widetilde{C}$  is the integration constant. It is clear from Eq. (43) that the homogeneous part of this equation has two linearly

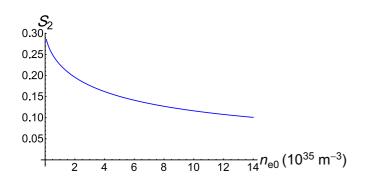


FIG. 10. The coefficient  $S_2$  is depicted versus  $n_{e0}$  (in units of  $10^{35}\,\mathrm{m}^{-3}$ ). Here,  $\theta=5^\circ$ , and  $\Omega(=\frac{\omega_{ei}}{\omega_{oi}})=0.25$ .

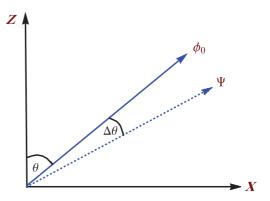


FIG. 11. Geometrical direction diagram of the propagating wave at equilibrium  $\phi_0$  and the perturbation part  $\Psi$ .

independent solutions, namely [33],

$$f = \frac{d\phi_0}{d\rho}, \quad g = f \int^{\rho} \frac{d\rho}{f^2}.$$
 (53)

Therefore, the general solution of this zeroth-order, Eq. (52), can be written as

$$\psi_0 = C_1 f + C_2 g - \widetilde{C} f \int^{\rho} \frac{g}{\widetilde{W}} d\rho + \widetilde{C} g \int^{\rho} \frac{f}{\widetilde{W}} d\rho, \quad (54)$$

where  $C_1$  and  $C_2$  are the integration constants and  $\widetilde{W}$  is the Wronskian defined by  $\widetilde{W} = f(dg/d\rho) - g(df/d\rho)$ . Evaluating all integrals, the general solution of the zeroth-order equation, assuming finite  $\psi_0$  as  $\rho \to \pm \infty$ , can be finally simplified to

$$\psi_0 = C_1 f. \tag{55}$$

The first-order equation, obtained from Eqs. (48)–(51) and (55) can be expressed, after integration, as

$$(-M + S_1 \phi_0) \psi_1 + S_2 \frac{d^2 \psi_1}{d\rho^2}$$

$$= i C_1 \left[ \beta_1 \tanh^2 \left( \frac{\rho}{W} \right) + \beta_2 \right] \phi_0 + C_3, \quad (56)$$

where  $C_3$  is another integration constant and  $\beta_1$  and  $\beta_2$  are given by

$$\beta_1 = \frac{1}{2}\phi_m \mu_1 - \frac{6}{W^2}\mu_2,\tag{57}$$

$$\beta_2 = \gamma_1 + M l_{\xi} - \frac{1}{2} \phi_m \mu_1 + \frac{2}{W^2} \mu_2, \tag{58}$$

where

$$\mu_1 = (S_1 l_{\varepsilon} + S_3 l_{\varepsilon})$$
 and  $\mu_2 = (3S_2 l_{\varepsilon} + S_5 l_{\varepsilon}).$  (59)

Similarly, the general solution of the first-order equation, assuming finite  $\psi_1$  as  $\rho \to \pm \infty$ , is given by

$$\psi_1 = K_1 f + \frac{i C_1 W^2}{8S_2} \left[ (\beta_1 + \beta_2) \rho f + 2 \left( \frac{1}{3} \beta_1 + \beta_2 \right) \phi_0 \right], \tag{60}$$

where  $K_1$  is an arbitrary constant. The second-order equation, obtained from Eq. (51), is given as

$$\left(-M\frac{d}{d\rho} + S_1 \frac{d}{d\rho}\phi_0 + S_2 \frac{d^3}{d\rho^3}\right)\psi_2 = Q,$$
 (61)

where

$$Q = i\gamma_2\psi_0 + i(\gamma_1 + Ml_{\xi} - \mu_1\phi_0)\psi_1 + \mu_3 \frac{d\psi_0}{d\rho} - i\mu_2 \frac{d^2\psi_1}{d\rho^2},$$
(62)

$$\mu_3 = \left(3S_2 l_{\xi}^2 + 2S_5 l_{\zeta} l_{\xi} + S_6 l_{\zeta}^2 + S_7 l_{\eta}^2\right). \tag{63}$$

The existence of the solution of Eq. (61) requires that Q must be orthogonal to the kernel of the adjoint operator to the operator L, which is given by

$$L = -M\frac{d}{d\rho} + S_1 \frac{d}{d\rho} \phi_0 + S_2 \frac{d^3}{d\rho^3}.$$
 (64)

Thus, we obtain the following consistency condition

$$\int_{-\infty}^{\infty} \phi_0 Q d\rho = 0. \tag{65}$$

Substituting for  $\psi_0$  and  $\psi_1$  from Eqs. (55) and (60), respectively, into Eq. (65), we obtain the following dispersion relation:

$$\gamma_1 = \Delta - M l_{\xi} + \sqrt{\Delta^2 - \Gamma},\tag{66}$$

where

$$\Delta = \frac{2}{3}(\mu_1 \phi_m - 2\mu_2 / W^2),\tag{67}$$

$$\Gamma = \frac{16}{45} \left( \mu_1^2 \phi_m^2 - 3\mu_1 \mu_2 \phi_m / W^2 - 3\mu_2^2 / W^4 + 12S_2 \mu_3 / W^4 \right). \tag{68}$$

Hence, from Eq. (66), we notice that instability occurs if the following condition is satisfied:

$$\Gamma - \Delta^2 > 0. \tag{69}$$

Thus, using Eqs. (41), (45), (59), (63), (67), and (68), we obtain the growth rate  $g_r = \sqrt{\Gamma - \Delta^2}$  of the instability as

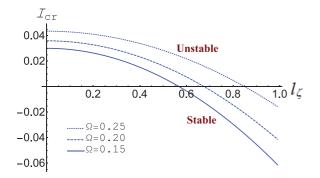


FIG. 12. The characteristic function  $I_{\rm cr}$ , given by Eq. (71), is depicted versus the direction cosine  $l_{\zeta}$  [cf. Eq (49)], for different  $\Omega$  values. Here,  $l_{\eta} = 0.6$ ,  $\theta = 15^{\circ}$ , and M = 1.2.

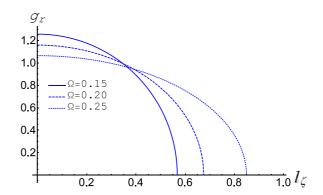


FIG. 13. The instability growth rate  $g_r$ , given by Eq. (70), is depicted versus the direction cosine  $l_{\zeta}$  [cf. Eq. (48)], for different  $\Omega$  values. Here,  $l_{\eta} = 0.6$ ,  $\theta = 15^{\circ}$ , and M = 1.2.

follows:

$$g_r = \frac{2M}{\sqrt{15}} \frac{(\Omega^2 + 1)^{1/2} I_{cr}^{1/2}}{(\Omega^2 + 1)\cos\theta - \cos^3\theta}.$$
 (70)

We retain the instability criterion  $I_{cr} > 0$ , where

$$I_{\rm cr} = \frac{1}{6} l_{\zeta}^{2} [-2\Omega^{2} - 5 + (8\Omega^{2} + 5)\cos 2\theta] + 2l_{\eta}^{2} \frac{[(\Omega^{2} + 1)\cos \theta - \cos^{3}\theta]^{2}}{2\Omega^{2} + 1 - \cos 2\theta}.$$
 (71)

It is clear from Eq. (70) for  $g_r$  that the instability growth rate depends on  $\Omega$  (=  $\omega_{ci}/\omega_{pi}$ ), which is affected by the electron degeneracy at equilibrium (via the dependence of  $\omega_{pi}$  on the equilibrium density), assuming that a constant external magnetic field is considered. Figure 12 determines the parametric region of instability, where  $I_{cr} > 0$ , with respect to the direction cosine ( $l_{\zeta}$ ) and the magnetic field (via  $\Omega$ ). The points where  $I_{cr} = 0$  represent the transition from instability to stability. We note that the instability growth rate  $g_r$  increases rapidly as the direction cosine  $l_{\zeta}$  decreases, i.e., for larger angle values, while it is also drastically affected by variations in  $\Omega$  values, as shown in Fig. 13.

## VIII. CONCLUSIONS

We have employed a quantum hydrodynamic model for a magnetized relativistic degenerate plasma, in order to investigate the propagation of linear and nonlinear electrostatic solitary waves of electrostatic nature and to characterize their dispersion properties.

A set of modified expressions have been presented for the characteristic charge screening length,  $\lambda_{\rm sc}^{\rm rel}$ , and for the sound speed in the plasma,  $C_s^{\rm rel}$ , taking into account the relativistic corrections. The sound speed  $C_s^{\rm rel}$  decreases rapidly as  $n_{e0}$  increases. Inversely,  $\lambda_{\rm sc}^{\rm rel}$  increases with higher  $n_{e0}$ , as shown in Figs. 5 and 6.

A nonlinear perturbation technique was employed to study nonlinear small-amplitude weakly superacoustic ion-acoustic excitations. A ZK-type equation was derived and a family of exact solutions was analytically obtained. These represent solitary wave-type structures in the form of pulses, whose amplitude and width decrease as  $n_{e0}$  increases (see Figs. 7 and 8). A (3D) instability analysis for the nonlinear supersonic pulses,

adopting a small-k expansion methodology, has revealed that these structures are unstable. Analytical expressions for the instability growth rate  $g_r$  have been deduced.

Our model may be useful in understanding the dynamics of collective excitations in metallic nanostructures and thin films [4], and also the physics of quantum diodes [5], nanophotonics and nanowires [6], nanoplasmonics [7], highgain quantum free-electron lasers [8], quantum wells and piezomagnetic quantum dots [9]. Degenerate plasmas may also exist in dense astrophysical objects, e.g., in the core of giant planets [10] and in the crust of white dwarfs, brown dwarfs, neutron stars, and magnetars [11,12]. As discussed above, the existence of electrostatic modes in such environments has been suggested in the past [19,19–22], and yet, not surprisingly

(given the obvious intrinsic observation and diagnostics issues involved), these haven't been observed to date [20].

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